

Shareholding pattern filed under Regulation 31(1)(b) as on 30th June 2021

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: **540900/NEWGEN/EQUITY SHARES**
3. Share Holding Pattern Filed under: **Reg.31(1)(b)**
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/06/2021
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?		NO
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)		
(A)	Promoter & Promoter Group	5	38585646	0	0	38585646	55.16	38585646	0	38585646	55.16	0	55.16	0	0.00	0	0.00	38585646
(B)	Public	41710	30778487	0	0	30778487	44.00	30778487	0	30778487	44.00	0	44.00	0	0.00	NA	NA	30749673
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	591568	0	0	591568	0.85	0	0	0	0.00	0	0.85	0	0.00	NA	NA	591568
	Total:	41716	69955701	0	0	69955701	100.00	69364133	0	69364133	99.15	0	100.00	0	0.00	0	0.00	69926887

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)					
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	5	38585646	0	0	38585646	55.16	38585646	0	38585646	55.16	0	0.00	0	0.00	0	0.00	
	TIRUMALAI SUNDARAJA IYENGAR VARADARAJAN	1	15009306	0	0	15009306	21.46	15009306	0	15009306	21.46	0	0.00	0	0.00	0	0.00	
	RAGINI GOORHA	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	
	USHA VARADARAJAN	1	1332320	0	0	1332320	1.90	1332320	0	1332320	1.90	0	0.00	0	0.00	0	0.00	
	DIWAKAR NIGAM	1	15674178	0	0	15674178	22.41	15674178	0	15674178	22.41	0	0.00	0	0.00	0	0.00	
	PRIVADARSHINI NIGAM	1	6569792	0	0	6569792	9.39	6569792	0	6569792	9.39	0	0.00	0	0.00	0	0.00	
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(d)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
	Sub-Total (A)(1)	5	38585646	0	0	38585646	55.16	38585646	0	38585646	55.16	0	0.00	0	0.00	0	0.00	
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individual	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
	Total Shareholding of Promoter and Promoter Group (A)	5	38585646	0	0	38585646	55.16	38585646	0	38585646	55.16	0	0.00	0	0.00	0	0.00	

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	(X)	(XI)		(XII)	(XIII)		
(1)	Institutions																	
(a)	Mutual Funds	3	2906510	0	0	2906510	4.15	2906510	0	2906510	4.15	0	0.00	NA	NA		2906510	
	HDFC TRUSTEE COMPANY LTD A/C - HDFC CHILDREN'S C	1	2403445	0	0	2403445	3.44	2403445	0	2403445	3.44	0	0.00	NA	NA		2403445	
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(c)	Alternate Investment Funds	6	2362449	0	0	2362449	3.38	2362449	0	2362449	3.38	0	0.00	NA	NA		2362449	
	MARATHON EDGE INDIA FUND I	1	1482639	0	0	1482639	2.12	1482639	0	1482639	2.12	0	0.00	NA	NA		1482639	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Foreign Portfolio Investors	51	14338159	0	0	14338159	20.50	14338159	0	14338159	20.50	0	0.00	NA	NA		14338159	
	ABU DHABI INVESTMENT AUTHORITY - BEHAVE	1	1652000	0	0	1652000	2.36	1652000	0	1652000	2.36	0	0.00	NA	NA		1652000	
	GOLDMAN SACHS INDIA LIMITED	1	2678786	0	0	2678786	3.83	2678786	0	2678786	3.83	0	0.00	NA	NA		2678786	
	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	1	1128403	0	0	1128403	1.61	1128403	0	1128403	1.61	0	0.00	NA	NA		1128403	
	ASHOKA INDIA OPPORTUNITIES FUND	1	1001000	0	0	1001000	1.43	1001000	0	1001000	1.43	0	0.00	NA	NA		1001000	
	MALABAR INDIA FUND LIMITED	1	3533708	0	0	3533708	5.05	3533708	0	3533708	5.05	0	0.00	NA	NA		3533708	
	KLIBER INDIA FUND	1	1093235	0	0	1093235	1.56	1093235	0	1093235	1.56	0	0.00	NA	NA		1093235	
(f)	Financial Institutions/Banks	1	7	0	0	7	0.00	7	0	7	0.00	0	0.00	NA	NA		7	
(g)	Insurance Companies	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(i)	Any Other																	
	FOREIGN CORPORATE BODIES	1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	NA	NA		60	
	Sub Total (B)(1)	62	19607185	0	0	19607185	28.03	19607185	0	19607185	28.03	0	0.00	NA	NA		19607185	
(2)	Central Government/State Government(s)/President of	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital	39296	5039234	0	0	5039234	7.20	5039234	0	5039234	7.20	0	0.00	NA	NA		5032020	
	ii. Individual shareholders holding nominal share capital	56	4073525	0	0	4073525	5.82	4073525	0	4073525	5.82	0	0.00	NA	NA		4073525	
	MUKUL MAHAVIR PRASAD AGRAWAL	1	1000000	0	0	1000000	1.43	1000000	0	1000000	1.43	0	0.00	NA	NA		1000000	
(b)	NBFCs Registered with RBI	2	35362	0	0	35362	0.05	35362	0	35362	0.05	0	0.00	NA	NA		35362	
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA		0	
(e)	Any Other																	
	TRUSTS	1	429	0	0	429	0.00	429	0	429	0.00	0	0.00	NA	NA		429	
	NON RESIDENT INDIANS	551	655758	0	0	655758	0.94	655758	0	655758	0.94	0	0.00	NA	NA		634158	
	CLEARING MEMBERS	226	477458	0	0	477458	0.68	477458	0	477458	0.68	0	0.00	NA	NA		477458	
	NON RESIDENT INDIAN NON REPATRIABLE	222	203027	0	0	203027	0.29	203027	0	203027	0.29	0	0.00	NA	NA		203027	
	BODIES CORPORATES	306	508124	0	0	508124	0.73	508124	0	508124	0.73	0	0.00	NA	NA		508124	
	H U F	988	178385	0	0	178385	0.25	178385	0	178385	0.25	0	0.00	NA	NA		178385	
	Sub Total (B)(3)	41648	11171302	0	0	11171302	15.97	11171302	0	11171302	15.97	0	0.00	NA	NA		11142488	
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	41710	30778487	0	0	30778487	44.00	30778487	0	30778487	44.00	0	0.00	NA	NA		30749673	

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Res		1	591568	0	0	591568	0.85	0	0	0	0.00	0	0.85	0	0.00	NA	NA	591568
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		1	591568	0	0	591568	0.85	0	0	0	0.00	0	0.85	0	0.00			591568

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,

No of Shareholders	No of shares
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