

<b>General information about company</b>	
Scrip code	540900
NSE Symbol	NEWGEN
MSEI Symbol	
ISIN	INE619B01017
Name of the company	NEWGEN SOFTWARE TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	5	45928988			45928988	66	45928988		45928988	66.49
(B)	Public	22054	23150741			23150741	33.27	23150741		23150741	33.51
(C)	Non Promoter-Non Public	1	505972			505972		0		0	0
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	505972			505972	0.73	0		0	0
	Total	22060	69585701			69585701	100	69079729		69079729	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				66	13847142	30.15			45928988
(B)	Public				33.27					23097927
(C)	Non Promoter-Non Public									505972
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				0.73					505972
	Total				99	13847142	19.9			69532887

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights	Class eg: X	Class eg:y	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	45928988			45928988	66	45928988		45928988	66.49
(d)	Any Other (specify)	0	0			0	0	0		0	0
Sub-Total (A) (1)		5	45928988			45928988	66	45928988		45928988	66.49
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	45928988			45928988	66	45928988		45928988	66.49
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	3	2647310			2647310	3.8	2647310		2647310	3.83
(c)	Alternate Investment Funds	2	1769919			1769919	2.54	1769919		1769919	2.56
(e)	Foreign Portfolio Investors	14	9191698			9191698	13.21	9191698		9191698	13.31
(f)	Financial Institutions/ Banks	1	5151			5151	0.01	5151		5151	0.01
(i)	Any Other (specify)	2	1259257			1259257	1.81	1259257		1259257	1.82
Sub-Total (B) (1)		22	14873335			14873335	21.37	14873335		14873335	21.53
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	20478	3672802			3672802	5.28	3672802		3672802	5.32
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	52	3876491			3876491	5.57	3876491		3876491	5.61
(b)	NBFCs registered with RBI	1	35000			35000	0.05	35000		35000	0.05
(e)	Any Other (specify)	1501	693113			693113	1	693113		693113	1
Sub-Total (B) (3)		22032	8277406			8277406	11.9	8277406		8277406	11.98
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		22054	23150741			23150741	33.27	23150741		23150741	33.51
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	505972			505972	0.73	0	0	0
Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)		1	505972			505972		0	0	0
Total (A+B+C2)		22060	69585701			69585701	100	69079729	69079729	100
Total (A+B+C)		22060	69585701			69585701	100	69079729	69079729	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				66	13847142	30.15			45928988
(d)				0	0	0			0
Sub-Total (A) (1)				66	13847142	30.15			45928988
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A) (2)				66	13847142	30.15			45928988
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				3.8					2647310
(c)				2.54					1769919
(e)				13.21					9191698
(f)				0.01					5151
(i)				1.81					1259257
Sub-Total (B) (1)				21.37					14873335
(3)	Non-institutions								
(a(i))				5.28					3665588
(a(ii))				5.57					3852491
(b)				0.05					35000
(e)				1					671513
Sub-Total (B) (3)				11.9					8224592
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				33.27					23097927
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
(2)				0.73					505972
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									505972
Total (A+B+C2)				100	13847142	19.9			69532887
Total (A+B+C)				99	13847142	19.9			69532887

Individuals/Hindu undivided Family							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	DIWAKAR NIGAM	T S VARADARAJAN	USHA VARADARAJAN	PRIYADARSHINI NIGAM	RAGINI GOORHA	MEENAKSHI NIGAM ISSAR	SHUBHI NIGAM
PAN (II)	AAYPN4523F	AAAPV0113H	AAGPV8557R	ADHPN2807J	AAGPG8096G	AJEPI9491A	AQUPN9317P
No. of fully paid up equity shares held (IV)	18422406	15009306	4528320	7968906	50	0	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	18422406	15009306	4528320	7968906	50	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.47	21.57	6.51	11.45	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	18422406	15009306	4528320	7968906	50	0	0
Class eg:y							
Total	18422406	15009306	4528320	7968906	50	0	0
Total as a % of Total Voting rights	26.67	21.73	6.56	11.54	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (Xi)(a) As a % of (A+B+C2)	26.47	21.57	6.51	11.45	0	0	0



Number of Locked in shares (XII)							
No. (a)	6923571	6923571	0	0	0	0	0
As a % of total Shares held (b)	37.58	46.13	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	18422406	15009306	4528320	7968906	50	0	0
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type	Promoter	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family							
Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	SONALI NIGAM	RAJIV CHANDRA	T.S. DEVANATHAN	T.S.S. DESHIKAN	T.S. AMRUTHAVALLI	UJJWAL VARADARAJAN	V. DIVYA
PAN (II)	ZZZZZ9999Z	AACPC3723G	AAYPD4765B	AEDPD5951N	AAZPA4811G	AFLPU2575A	AGEPV7071J
No. of fully paid up equity shares held (IV)	0	0	0	0	0	0	0
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	0	0	0	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	0	0	0	0
Number of Voting Rights held in each class of securities (IX)							
Class eg:X	0	0	0	0	0	0	0
Class eg:y							
Total	0	0	0	0	0	0	0
Total as a % of Total Voting rights	0	0	0	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (Xi)(a) As a % of (A+B+C2)	0	0	0	0	0	0	0

Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	0	0
Reason for not providing PAN							
Reason for not providing PAN	Textual Information (1)						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group

Individuals/Hindu undivided Family						
Searial No.	15	16	17	18	19	
Name of the Shareholders (I)	V. VIDULA	NADADUR SRINIVASA VARADAN SAROJA	N.S. KRISHNAMACHARY	S. SRIKANTH	SUDHA SAIRAJ	Click here to go back
PAN (II)	BGHPV5026R	DZQPS9459K	AHEPK5115D	ABFPS2998M	AMFPS2866R	Total
No. of fully paid up equity shares held (IV)	0	0	0	0	0	45928988
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	0	0	0	0	45928988
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	0	0	66
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	0	0	0	0	0	45928988
Class eg:y						
Total	0	0	0	0	0	45928988
Total as a % of Total Voting rights	0	0	0	0	0	66.49
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0	0	0	0	0	66
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	13847142
As a % of total Shares held (b)	0	0	0	0	0	30.15
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	0	0	0	0	0	45928988
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter Group	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

<b>Text Block</b>	
Textual Information(1)	Sonali Nigam is the resident of USA and does not have PAN.

Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	KRIOS TECHNOLOGIES PRIVATE LIMITED	JNANAADRI TECHNOVATIONS PRIVATE LIMITED	SOSALEY TECHNOLOGIES PRIVATE LIMITED	
PAN (II)	AAECK2522L	AACCJ3967C	AACCJ0045R	Total
No. of the Shareholders (I)	0	0	0	0
No. of fully paid up equity shares held (IV)	0	0	0	0
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	0	0	0	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	0	0	0	0
Class eg:y				
Total	0	0	0	0
Total as a % of Total Voting rights	0	0	0	0
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0	0	0
Number of Locked in shares (XII)				
No. (a)	0	0	0	0

As a % of total Shares held (b)	0	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	0	0	0	0
Reason for not providing PAN				
Reason for not providing PAN				
Shareholder type	Promoter Group	Promoter Group	Promoter Group	



<b>Mutual Funds</b>		
Serial No.	1	
Name of the Shareholders (I)	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUILDERVALUE FUND	<a href="#">Click here to go back</a>
PAN (II)	AAATH1809A	Total
No. of fully paid up equity shares held (IV)	2161706	2161706
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2161706	2161706
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.11	3.11
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2161706	2161706
Class eg:y		
Total	2161706	2161706
Total as a % of Total Voting rights	3.13	3.13
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	3.11	3.11
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2161706	2161706
Reason for not providing PAN		

Reason for not providing PAN		
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<b>Alternate Investment Funds</b>			
Serial No.	1	2	
Name of the Shareholders (I)	EDELWEISS MULTI STRATEGY INVESTMENT TRUST - EDELWE	MALABAR VALUE FUND	<a href="#">Click here to go back</a>
PAN (II)	AAATF4459L	AAETM6172H	Total
No. of fully paid up equity shares held (IV)	971899	798020	1769919
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	971899	798020	1769919
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.4	1.15	2.54
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	971899	798020	1769919
Class eg:y			
Total	971899	798020	1769919
Total as a % of Total Voting rights	1.41	1.16	2.56
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.4	1.15	2.54
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	971899	798020	1769919
Reason for not providing PAN			

Reason for not providing PAN			
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<b>Foreign Portfolio Investors</b>			
Serial No.	1	2	
Name of the Shareholders (I)	GOLDMAN SACHS INDIA LIMITED	MALABAR INDIA FUND LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AADCG0260C	AAFCM6589C	Total
No. of fully paid up equity shares held (IV)	3142727	5521522	8664249
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3142727	5521522	8664249
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.52	7.93	12.45
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg: X	3142727	5521522	8664249
Class eg:y			
Total	3142727	5521522	8664249
Total as a % of Total Voting rights	4.55	7.99	12.54
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.52	7.93	12.45
<b>Number of Locked in shares (XII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	3142727	5521522	8664249
Reason for not providing PAN			

Reason for not providing PAN			
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Any Other (specify)		
Searial No.	1	
Category	Other	
Category / More than 1 percentage	More than 1 percentage of shareholding	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	CANARA HSBC ORIENTAL BANK OF COMMERCE LIFE INSURANCE COMPANY LTD	
PAN (II)	AADCC1881F	Total
No. of the Shareholders (I)	1	0
No. of fully paid up equity shares held (IV)	1259197	0
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1259197	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.81	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1259197	0
Class eg:y		
Total	1259197	0
Total as a % of Total Voting rights	1.82	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.81	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	1259197	0
Reason for not providing PAN		
Reason for not providing PAN		



Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\* : Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence