

Shareholding Pattern filed under Regulation 31(1)(b) as on 31/12/2018

1. Name of Listed Entity:**NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security:**NEWGEN, EQUITY SHARES**
3. Share Holding Pattern Filed under: Reg.31(1)(b)
 - a. if under 31(1)(b) then indicate the report for quarter ending December 2018 - 31/12/2018
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

*if the Listed Entity selects the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Aman Mowrey
14/01/19



Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(X)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	5	45928988	0	0	45928988	66.34	45928988	0	45928988	66.34	0	66.34	45928938	100.00	0	0.00	45928988
(B)	Public	25650	22163777	0	0	22163777	32.01	22163777	0	22163777	32.01	0	32.01	2123977	9.58	NA	NA	22059513
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0	0.00	NA	NA	0	
(C2)	Shares held by Employees Trusts	1	1142936	0	0	1142936	1.65	1142936	0	1142936	1.65	0	1.65	0	0.00	NA	NA	1142936
	Total:	25696	69235701	0	0	69235701	100.00	69235701	0	69235701	100.00	0	100.00	48052915	69.40	0	0.00	69131237

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (V+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)			
(1)	Indian																		
(a)	Individuals/Hindu undivided Family		5	45928988	0	0	45928988	66.34	45928988	0	45928988	66.34	0	66.34	45928988	100.00	0	0.00	45928988
	T S VARADARAJAN	AAAPV0113H	1	15009306	0	0	15009306	21.68	15009306	0	15009306	21.68	0	21.68	15009306	100.00	0	0.00	15009306
	RAGINI GOORHA	AAGPG8096G	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	AAGPV8557R	1	4528320	0	0	4528320	6.54	4528320	0	4528320	6.54	0	6.54	4528320	100.00	0	0.00	4528320
	DIWAKAR NIGAM	AAYPN4523F	1	18422406	0	0	18422406	26.61	18422406	0	18422406	26.61	0	26.61	18422406	100.00	0	0.00	18422406
	PRIVADARSHINI NIGAM	ADHPN2807J	1	7968906	0	0	7968906	11.51	7968906	0	7968906	11.51	0	11.51	7968906	100.00	0	0.00	7968906
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total [A][1]		5	45928988	0	0	45928988	66.34	45928988	0	45928988	66.34	0	66.34	45928988	100.00	0	0.00	45928988
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total [A][2]		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group [A]=[A][1]+[A][2]		5	45928988	0	0	45928988	66.34	45928988	0	45928988	66.34	0	66.34	45928988	100.00	0	0.00	45928988

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form		
									Class X		Class Y			Total	Total as a % of (A+B+C)	No.	As a % of total Shares held		No.	As a % of total Shares held
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)				
(1)	Institutions																			
(a)	Mutual Funds																			
	HDFC TRUSTEE COMPANY LTD. A/C HDFC CAPITAL BUILL	AAATH1809A	3	2483302	0	0	2483302	3.59	2483302	0	2483302	3.59	0	0.00	NA	NA	2483302			
	Venture Capital Funds																			
(b)	Alternate Investment Funds																			
	EDELWEISS MULTI STRATEGY INVESTMENT TRUST - EDE	AAATF4459L	3	2637053	0	0	2637053	3.81	2637053	0	2637053	3.81	0	0.00	NA	NA	2637053			
	MALABAR VALUE FUND	AAETM6172H	1	1146563	0	0	1146563	1.66	1146563	0	1146563	1.66	0	0.00	NA	NA	1146563			
(d)	Foreign Venture Capital Investors																			
	Foreign Portfolio Investors																			
(e)	IGOLDMAN SACHS INDIA LIMITED	AADCG0260C	1	2730734	0	0	2730734	3.94	2730734	0	2730734	3.94	0	0.00	NA	NA	2730734			
	MALABAR INDIA FUND LIMITED	AAFCM6589C	1	4564262	0	0	4564262	6.59	4564262	0	4564262	6.59	0	0.00	NA	NA	4564262			
(f)	Financial Institutions/Banks																			
(g)	Insurance Companies																			
(h)	Provident Funds/Pension Funds																			
(i)	Any Other																			
	FOREIGN CORPORATE BODIES		1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	NA	NA	60			
	Sub Total (B)(1)		24	13173398	0	0	13173398	19.03	13173398	0	13173398	19.03	0	0.00	NA	NA	13173398			
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(3)	Non-Institutions																			
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		23755	3432827	0	0	3432827	4.96	3432827	0	3432827	4.96	0	0.00	NA	NA	3388963			
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2		48	3766496	0	0	3766496	4.72	3766496	0	3766496	4.72	0	0.00	NA	NA	3227496			
(b)	NSFCs Registered with RBI		6	42121	0	0	42121	0.06	42121	0	42121	0.06	0	0.00	NA	NA	42121			
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0			
(e)	Any Other																			
	NON RESIDENT INDIANS		250	260753	0	0	260753	0.38	260753	0	260753	0.38	0	0.00	NA	NA	239153			
	CLEARING MEMBERS		54	17426	0	0	17426	0.03	17426	0	17426	0.03	0	0.00	NA	NA	17426			
	NON RESIDENT INDIAN NON REPATRIABLE		81	399907	0	0	399907	0.58	399907	0	399907	0.58	0	0.00	NA	NA	399907			
	BODIES CORPORATES		185	1472681	0	0	1472681	2.13	1472681	0	1472681	2.13	0	0.00	NA	NA	1472681			
	CANARA HSBC ORIENTAL BANK OF COMMERCE LIFE INS	AADCC1881F	1	1114593	0	0	1114593	1.61	1114593	0	1114593	1.61	0	0.00	NA	NA	1114593			
	H U E		1247	98168	0	0	98168	0.14	98168	0	98168	0.14	0	0.00	NA	NA	98168			
	Sub Total (B)(3)		25626	8990379	0	0	8990379	12.99	8990379	0	8990379	12.99	0	0.00	NA	NA	8859919			
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		25650	22163777	0	0	22163777	32.01	22163777	0	22163777	32.01	0	0.00	NA	NA	21059213			

(4)

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held [(V+V+VI)]	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(X)			(XI)	(XII)	(XIII)	(XIV)				
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0		
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regs		1	1142936	0	0	1142936	1.65	1142936	0	1142936	1.65	0	1.65	0	0.00	NA	1142936	
	AMARENDRA KISHORE SHARAN	AACTN0584E	1	1142936	0	0	1142936	1.65	1142936	0	1142936	1.65	0	1.65	0	0.00	NA	1142936	
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		1	1142936	0	0	1142936	1.65	1142936	0	1142936	1.65	0	1.65	0	0.00		1142936	

(5)

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,	
No of Shareholders	No of shares

(7)