

**Shareholding Pattern filed under Regulation 31(1)(b) as on 30/09/2019**

1. Name of Listed Entity: **NEWGEN SOFTWARE TECHNOLOGIES LIMITED**
2. Scrip Code/Name of Scrip/Class of Security: NEWGEN, EQUITY SHARES
3. Share Holding Pattern Filed under: Reg.31(1)(b)
  - a. if under 31(1)(b) then indicate the report for quarter ending September 2019 - 30/09/2019
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	<b>Particulars</b>	<b>YES*</b>	<b>NO*</b>
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?	YES	
e	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

\*if the Listed Entity selectes the option 'NO' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)			(x)	(xi)	(xii)		(xiii)	(xiv)		
(A)	Promoter & Promoter Group	5	45928988	0	0	45928988	66.00	45928988	0	45928988	66.00	0	66.00	13847142	30.15	0	0.00	45928988
(B)	Public	22006	23057148	0	0	23057148	33.13	23057148	0	23057148	33.13	0	33.13	0	0.00	NA	NA	23004334
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	1	599565	0	0	599565	0.86	599565	0	599565	0.86	0	0.86	0	0.00	NA	NA	599565
<b>Total:</b>		<b>22012</b>	<b>69585701</b>	<b>0</b>	<b>0</b>	<b>69585701</b>	<b>100.00</b>	<b>69585701</b>	<b>0</b>	<b>69585701</b>	<b>100.00</b>	<b>0</b>	<b>100.00</b>	<b>13847142</b>	<b>19.90</b>	<b>0</b>	<b>0.00</b>	<b>69532887</b>

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									Class X	Class Y	Total			Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(a)	Indian																		
	Individuals/Hindu undivided Family		5	45928988	0	0	45928988	66.00	45928988	0	45928988	66.00	0	66.00	13847142	30.15	0	0.00	45928988
	T S VARADARAJAN	AAAPV0113H	1	15009306	0	0	15009306	21.57	15009306	0	15009306	21.57	0	21.57	6923571	46.13	0	0.00	15009306
	RAGINI GOORHA	AAGPG8096G	1	50	0	0	50	0.00	50	0	50	0.00	0	0.00	0	0.00	0	0.00	50
	USHA VARADARAJAN	AAGPV8557R	1	4528320	0	0	4528320	6.51	4528320	0	4528320	6.51	0	6.51	0	0.00	0	0.00	4528320
	DIWAKAR NIGAM	AAYPN4523F	1	18422406	0	0	18422406	26.47	18422406	0	18422406	26.47	0	26.47	6923571	37.58	0	0.00	18422406
	PRIVADARSHINI NIGAM	ADHPN2807J	1	7968906	0	0	7968906	11.45	7968906	0	7968906	11.45	0	11.45	0	0.00	0	0.00	7968906
(b)	Central Government/State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(1)</b>		<b>5</b>	<b>45928988</b>	<b>0</b>	<b>0</b>	<b>45928988</b>	<b>66.00</b>	<b>45928988</b>	<b>0</b>	<b>45928988</b>	<b>66.00</b>	<b>0</b>	<b>66.00</b>	<b>13847142</b>	<b>30.15</b>	<b>0</b>	<b>0.00</b>	<b>45928988</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)</b>		<b>5</b>	<b>45928988</b>	<b>0</b>	<b>0</b>	<b>45928988</b>	<b>66.00</b>	<b>45928988</b>	<b>0</b>	<b>45928988</b>	<b>66.00</b>	<b>0</b>	<b>66.00</b>	<b>13847142</b>	<b>30.15</b>	<b>0</b>	<b>0.00</b>	<b>45928988</b>

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C)	Number of Voting Rights held in each class of securities			No of Shares Underlying convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)		(XII)	(XIII)		(XIV)	
(1)	Institutions																		
(a)	Mutual Funds		3	2480434	0	0	2480434	3.56	2480434	0	2480434	3.56	0	0.00	NA	NA			2480434
	HFDC TRUSTEE COMPANY LTD- HFDC EQUITY SAVING FU	AAATH1809A	1	1994830	0	0	1994830	2.87	1994830	0	1994830	2.87	0	0.00	NA	NA			1994830
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(c)	Alternate Investment Funds		3	2354436	0	0	2354436	3.38	2354436	0	2354436	3.38	0	0.00	NA	NA			2354436
	EDELWEISS MULTI STRATEGY INVESTMENT TRUST - EDEL	AAATF4459L	1	1156416	0	0	1156416	1.66	1156416	0	1156416	1.66	0	0.00	NA	NA			1156416
	MALABAR VALUE FUND	AAETM6172H	1	798020	0	0	798020	1.15	798020	0	798020	1.15	0	0.00	NA	NA			798020
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(e)	Foreign Portfolio Investors		23	8581915	0	0	8581915	12.33	8581915	0	8581915	12.33	0	0.00	NA	NA			8581915
	GOLDMAN SACHS INDIA LIMITED	AADCG0260C	1	2730734	0	0	2730734	3.92	2730734	0	2730734	3.92	0	0.00	NA	NA			2730734
	MALABAR INDIA FUND LIMITED	AAF6M589C	1	4626616	0	0	4626616	6.65	4626616	0	4626616	6.65	0	0.00	NA	NA			4626616
(f)	Financial Institutions/Banks		2	4737	0	0	4737	0.01	4737	0	4737	0.01	0	0.00	NA	NA			4737
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(i)	Any Other		1	1247001	0	0	1247001	1.79	1247001	0	1247001	1.79	0	0.00	NA	NA			1247001
	Qualified Institutional Buyer		1	1247001	0	0	1247001	1.79	1247001	0	1247001	1.79	0	0.00	NA	NA			1247001
	CANARA HSBC ORIENTAL BANK OF COMMERCE LIFE INSU	AAACC1881F	1	1247001	0	0	1247001	1.79	1247001	0	1247001	1.79	0	0.00	NA	NA			1247001
	FOREIGN CORPORATE BODIES		1	60	0	0	60	0.00	60	0	60	0.00	0	0.00	NA	NA			60
	<b>Sub Total (B)(1)</b>		<b>33</b>	<b>14668583</b>	<b>0</b>	<b>0</b>	<b>14668583</b>	<b>21.08</b>	<b>14668583</b>	<b>0</b>	<b>14668583</b>	<b>21.08</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>			<b>14668583</b>
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>			<b>0</b>
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs		20402	3584925	0	0	3584925	5.15	3584925	0	3584925	5.15	0	0.00	NA	NA			3577111
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2		53	3991886	0	0	3991886	5.74	3991886	0	3991886	5.74	0	0.00	NA	NA			3967886
(b)	NBFCs Registered with RBI		1	35000	0	0	35000	0.05	35000	0	35000	0.05	0	0.00	NA	NA			35000
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA			0
(e)	Any Other																		
	NON RESIDENT INDIANS		237	293525	0	0	293525	0.42	293525	0	293525	0.42	0	0.00	NA	NA			271925
	CLEARING MEMBERS		31	12752	0	0	12752	0.02	12752	0	12752	0.02	0	0.00	NA	NA			12752
	NON RESIDENT INDIAN NON REPATRIABLE		83	147340	0	0	147340	0.21	147340	0	147340	0.21	0	0.00	NA	NA			147340
	BODIES CORPORATES		119	227255	0	0	227255	0.33	227255	0	227255	0.33	0	0.00	NA	NA			227255
	H U F		1047	95882	0	0	95882	0.14	95882	0	95882	0.14	0	0.00	NA	NA			95882
	<b>Sub Total (B)(3)</b>		<b>21973</b>	<b>8388565</b>	<b>0</b>	<b>0</b>	<b>8388565</b>	<b>12.06</b>	<b>8388565</b>	<b>0</b>	<b>8388565</b>	<b>12.06</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>NA</b>			<b>8335751</b>
	<b>Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)</b>		<b>22006</b>	<b>23057148</b>	<b>0</b>	<b>0</b>	<b>23057148</b>	<b>33.13</b>	<b>23057148</b>	<b>0</b>	<b>23057148</b>	<b>33.13</b>	<b>0</b>	<b>0.00</b>					<b>23004334</b>

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIV)			
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regu		1	599565	0	0	599565	0.86	599565	0	599565	0.86	0	0.86	0	0.00	NA	NA	599565
	<b>Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)</b>		<b>1</b>	<b>599565</b>	<b>0</b>	<b>0</b>	<b>599565</b>	<b>0.86</b>	<b>599565</b>	<b>0</b>	<b>599565</b>	<b>0.86</b>	<b>0</b>	<b>0.86</b>	<b>0</b>	<b>0.00</b>			<b>599565</b>

<b>Details of the shareholders acting as persons in Concert including their Shareholding:</b>			
Name of Shareholder	Name of PAC	No of shares	Holding%
<b>Total:</b>		<b>0</b>	<b>0</b>

<b>Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders,</b>	
No of Shareholders	No of shares